

LEGISLATIVE ETHICS COMMISSION
Location: Alfred E. Smith Building, Suite 1431
Mailing Address: Box 75, Legislative Office Building
Albany New York 12247
Telephone: (518)432-7837/7838

REQUEST TO EXEMPT INFORMATION FROM REPORTING
ON THE ANNUAL STATEMENT OF FINANCIAL DISCLOSURE
(See Instructions on Reverse Side)

1. Name _____
2. Legislative Office _____ Position _____
3. Address _____
City _____ State _____ Zip _____
4. Daytime Telephone (____) _____
5. Identify the item of information on your financial disclosure statement which your spouse objects to providing to you and which you cannot otherwise obtain.

<u>Question #</u>	<u>Item of Information</u>	<u>Re Spouse or Unemancipated Child</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
6. Provide any specific information which supports your assertion that the requested is unavailable to you.
7. Provide a description of the duties and responsibilities of your legislative position.
8. State why your failure to report the item(s) of information will have no material bearing on the discharge of your official duties.

I certify that the information set forth above is true to the best of my knowledge and belief. I understand that Legislative Law § 80 provides a penalty of up to \$40,000 or alternatively, punishment as a class A misdemeanor, for a knowing or willful false statement on the disclosure form.

Signature

Date

INSTRUCTIONS FOR REQUEST TO EXEMPT INFORMATION FROM REPORTING ON THE ANNUAL STATEMENT OF FINANCIAL DISCLOSURE

Legislative Law § 80 (7) (j) permits a reporting individual to request an exemption from disclosing information pertaining to a family member if that person objects to providing the necessary information and it has no material bearing on the official's duties. You should file this form before you file your financial disclosure statement to afford the Legislative Ethics Commission time to address your request. The Commission will advise you in writing of its determination. The making of this request does not relieve you of your responsibility to file.

Question 1 - State your complete name.

Question 2 - State the legislative office where you are employed, and give your position. Candidates need to identify the position they are seeking.

Question 3 - Provide the street address for the location where you want the notice of determination sent.

Question 4 - Provide a daytime phone number where you may be reached.

Question 5 - In the space provided, identify the question number and the specific items of information you are requesting to exempt from the financial disclosure requirements. Also indicate on whose behalf you are requesting the exemption; for example, spouse or unemancipated child. (You may attach additional sheets provided your name appears on each attachment.)

Question 6 - You should describe why the information is not available to you and the basis of your belief that your spouse objects to providing such information.

Question 7 - Describe the duties and responsibilities of your legislative position. You may also attach a formal job description.

Question 8 - Before the Commission may grant your request, you must establish that the information for which the exemption is sought will have no material bearing on the discharge of your official duties. You need to clearly state specific reasons to support your argument. The Commission cannot grant your request unless it has a completed financial disclosure statement and sufficient factual support to establish that the information that you want exempted has no material bearing on the discharge of your duties.

While the Commission may grant your request, it is not compelled to do so. The Commission must find that the information in question has no material bearing on the discharge of your official duties, that your spouse objects to providing the information and that you are otherwise unable to comply with the disclosure requirements.